PART B - FEE(S) TRANSMITTAL

Complete and send this form, together __ A applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450 or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) 12/20/2006 Certificate of Mailing or Transmission DANN, DORFMAN, HERRELL & SKILLMAN I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. 1601 MARKET STREET **SUITE 2400** PHILADELPHIA, PA 19103-2307 (Depositor's name) Jane C. Bogan (Signature March 19, 2007 (Date APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 09/966,768 09/28/2001 Derek Van Der Kooy BERESKIN & PARR 6817 TITLE OF INVENTION: PRIMITIVE NEURAL STEM CELLS AND METHOD FOR DIFFERENTIATION OF STEM CELLS TO NEURAL CELLS APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE YES nonprovisional \$700 \$300 \$0 \$1000 03/20/2007 EXAMINER ART UNIT CLASS-SUBCLASS SULLIVAN, DANIEL M 1636 435-377000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list DANN DORFMAN HERRELL AND (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, 1 SKILLMAN ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. 2 Kathleen D. Rigaut, Ph.D., J.D. (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer registered patent attorneys or agents. If no name is 3 Robert C. Netter, Jr., Ph.D. listed, no name will be printed. Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) ☐ Individual ☐ Corporation or other private group entity ☐ Government Please check the appropriate assignee category or categories (will not be printed on the patent): 4a. The following fee(s) are submitted: 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) Issue Fee ☐ A check is enclosed. Publication Fee (No small entity discount permitted) ☐ Payment by credit card. Form PTO-2038 is attached. X The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 04-1406 (enclose an extra copy of this fo Advance Order - # of Copies -10-(enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office ali Authorized Signature Date March 19, 2007 Typed or printed name Kathleen D. Rigaut, Ph.D., J.D. Registration No. 43,047 This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

PTOL-85 (Rev. 07/06) Approved for use through 04/30/2007.

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DANN, DORFMAN, HERRELL AND SKILLMAN

A PROFESSIONAL CORPORATION

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March 19, 2007

First Named Inventor:

Derek Van Der Kooy

Via Electronic Filing

Application No. 09/966,768

Allowed: December 20, 2006

Attorney Docket No. 2189-P02741US0

Filed: September 28, 2001

For:

PRIMITIVE NEURAL STEM CELLS

AND METHOD FOR

DIFFERENTIATION OF STEM CELLS TO NEURAL CELLS

TO THE COMMISSIONER FOR PATENTS:

SUBMISSION OF ISSUE FEE

The above-identified application has been allowed. In response to the Notice of Allowability dated December 20, 2006, attached are the following:

- 1. Form PTOL-85 Part B;
- 2. Notification of Fee Address; and
- 3. Fee Transmittal Sheet.

Respectfully submitted,
DANN, DORFMAN, HERRELL AND SKILLMAN
A Professional Corporation
Attorneys for Applicants

Kathleen D. Rigaut, Ph.D,. J.D.

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TO THE COMMISSIONER FOR PATENTS:

NOTIFICATION OF FEE ADDRESS

Please enter "Payor Number" No. <u>000110</u> in the Office records to identify the "Fee Address" to be used in connection with this case for all future maintenance fee payments and related correspondence.

Respectfully submitted,
DANN, DORFMAN, HERRELL AND SKILLMAN
A Professional Corporation
Attorneys for Applicants

Kathleen D. Rigaut, Ph,.D,. J.D

PTO Registration No. 43,047

Customer No. 000110

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FEE TRANSMITTAL	Complete if known
	Application Number: 09/966,768
	Filing Date: September 28, 2001
	First Named Inventor: Derek Van Der Kooy
	Group Art Unit: 1636
	Examiner Name: Daniel M. Sullivan
Total Amt. of Payment: (1)+(2)+(3)= \$1,030	Attorney Docket Number: 2189-P02741US0

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)
	ADDITIONAL FEES	
1. The Commissioner is hereby authorized to:	Fee Description	Fee Paid
[X] Charge indicated fees	Surcharge-late filing fee or oath	
[X] Charge additional fees	Surcharge - late provisional filing fee or cover sheet	
[X] Credit overpayments	Extension for response within first month	
to the account of DANN, DORFMAN, HERRELL & SKILLMAN	Extension for response within second month	
Deposit Account Number <u>04-1406</u>	Extension for response within third month	
	Extension for response within fourth month	
2. Payment enclosed:	Notice of Appeal	
Check in the amount of	Filing a brief in support of an appeal	
	Request for oral hearing	
FEE CALCULATION	Petition to revive unavoidably abandoned application	
1. FILING FEE Fee	Petition to revive unintentionally abandoned application	
Fee Description	Issue fee	700
Utility filing fee	Petitions to the Commissioner	
Design filing fee	Petitions related to provisional applications	
Plant filing fee	Submission of Information Disclosure Stmt.	
Reissue filing fee	Recording each patent assignment per property	
Provisional filing fee	Other fee (specify) Advance Order (10 copies)	30
	Other fee (specify) Publication Fee Publication Fee	300
SUBTOTAL (1) \$0	-	
<u> </u>	SUBTOTAL (3)	\$1,030
2. Claims		
Paid Extra Fee		
Total Claims = 0		
Independent Claims x = 0		
Multiple Dependent		
(First presentation)		
SUBTOTAL (2) \$0		

Submitted	Ву:
Typod or	

Typed or
Printed Name Kathleen D. Rigaut, Ph.D., J.D. Reg. Number 43,047

Signature Jacklean Sugart Date March 19, 2007

Deposit Account User ID 04-1406